



PATENT  
450101-02687

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Yoichiro Asato  
Serial No. : 09/831,328  
For : DATA RECORDING/REPRODUCING APPARATUS AND METHOD  
Filed : May 7, 2001  
Examiner : Nguyen, Huy Thanh  
Art Unit : 2621

745 Fifth Avenue  
New York, NY 10151  
Tel: 212-588-0800

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☐ No additional fee is required.  
☒ The fee has been calculated as shown below.  
☐ This is an application of a small entity under 37 CFR 1.9(f), and the amounts shown in parentheses apply.

**Claims as Amended**

(1)	(2) Claims remaining after amendment	(3)	(4) Highest number previously paid for	(5) Present extra	(6) Rate	(7) Additional Fee
Total claims	17	Minus	20	x	\$50 (25)	= \$0.00
Independent claims	3	Minus	3	x	\$200 (100)	= \$0.00
Total additional fee for this amendment						\$0.00

- \* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.  
\*\* If the highest number of total claims previously paid for is less than 20, write "20" in this space.  
\*\*\* If the highest number of independent claims previously paid for is less than 3, write "3" in this space.

- ☒ This response is being filed within the first month following the expiration of the term originally set therefor. This is a petition to request a one-month extension of time. A check covering the cost of the petition is enclosed.
- ☒ A check in the amount of \$120.00 is attached, which covers the cost of the ☐ additional claims ☒ petition for extension of time.
- ☒ Please charge any additional fees incurred by reason of this response or credit any overpayment to Deposit Account No. 50-0320.

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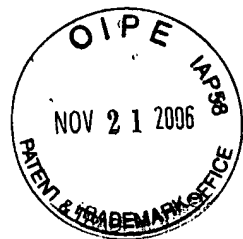
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FROMMER LAWRENCE & HAUG, LLP  
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Barnet Shindlman  
(Typed or printed name of person mailing paper or fee)

Barnet Shindlman  
(Signature of person mailing paper or fee)

**PRELIMINARY AMENDMENT FILED WITH  
REQUEST FOR CONTINUED EXAMINATION**

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants respectfully request a one-month extension to reply to the Notice of Appeal  
filed August 24, 2006 having an extended date for reply of November 24, 2006. Enclosed is a

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120.00 OP

check in the amount \$120.00 for the extension-of-time fee. Applicants respectfully submit a request for continued examination (RCE) and this preliminary amendment.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 3 of this paper.

**Remarks/Arguments** begin on page 8 of this paper.